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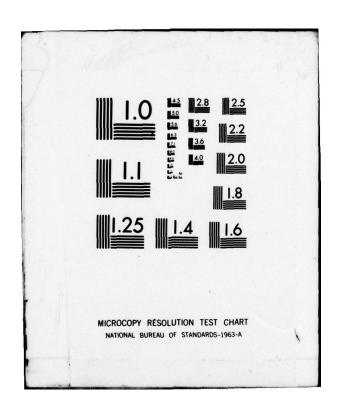
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HUDSON RIVER BASIN

PUTNAM COUNTY
NEW YORK

DIVERTING RESERVOIR DAM

PHASE I INSPECTION REPORT
NATIONAL DAM SAFETY PROGRAM

NY 00056

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DEPARTMENT OF THE ARMY
NEW YORK DISTRICT, CORPS OF ENGINEERS
26 FEDERAL PLAZA
NEW YORK, NEW YORK 10007
JULY 1978

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#### HUDSON RIVER BASIN

Name of Dam: Diverting Reservoir Dam County and State: Putnam County, New York Inventory Number: NY 00056

#### PHASE I INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM



Prepared by: O'Brien and Gere Engineers, Inc.

For: New York State

Department of Environmental Conservation

Date: August 17, 1978

#### PHASE I REPORT

## NATIONAL DAM INSPECTION PROGRAM

Name of Dam: Diverting Reservoir Dam

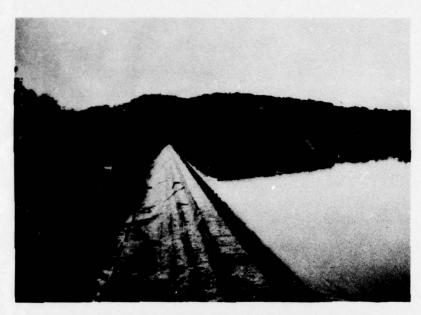
State Located: New York
County Located: Putnam County
Stream: East Branch Croton River
Date of Inspection: July 17, 1978

## ASSESSMENT OF GENERAL CONDITIONS

No indications of instability were observed during the visual inspection of the Diverting Reservoir Dam. However, review of the stability analyses for the spillway indicates that adequate factors of safety are not present under the loading associated with the PMF: the foundation reaction is outside of the middle third of the base for all loadings analyzed. Strengthening of the dam to provide adequate factors of safety is recommended.

The spillway is hydraulically adequate to pass the peak discharge associated with the PMF without overtopping of the earth embankment.

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VIEW OF SPILLWAY LOOKING SOUTHWEST



DOWNSTREAM FACE OF EMBANKMENT LOOKING SOUTH EAST

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# PHASE I INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM NAME OF DAM DIVERTING RESERVOIR DAM ID# NY 00056

#### **SECTION I - PROJECT INFORMATION**

#### 1.1 GENERAL

- a. Authority This report is authorized by the Dam Inspection Act, Public Law 92-367, and has been prepared in accordance with contract #1467.021 between O'Brien & Gere Engineers, Inc., and the New York State Department of Environmental Conservation.
- b. <u>Purpose</u> The purpose of this inspection is to evaluate the structural and hydraulic conditions at the Diverting Reservoir Dam and to determine if the dam constitutes a hazard to human life or property.

#### 1.2 PROJECT DESCRIPTION

a. Description of Dam and Appurtenances - (Information obtained from the New York State Department of Environmental Conservation (N.Y.S.D.E.C.) and the City of New York Department of Environmental Protection) The structures include a 1000-foot spillway with concrete abutments at each end; a 1000-foot earth embankment with two 30 inch reservoir drain pipes and associated intake structure; gate chamber and stilling basin; and a gate structure used to separate and control the levels of the Diverting Reservoir and the Croton Falls Reservoir. (See Figure 5).

The spillway is a cut stone masonry structure with a maximum height of approximately 40 feet. The downstream face of the spillway is stepped at approximately 3 foot intervals; flow over the spillway forms a cascade. The discharge channel then directs flow parallel to the crest of the spillway.

The earth embankment, constructed perpendicular to the spillway, has a maximum height of approximately 50 feet. The slopes of both faces of the embankment are 2 to 1 (horizontal to vertical). Carmel Road is constructed on a bench about 30 feet wide, near the center of the downstream slope.

Two 30 inch reservoir drain pipes are constructed through the earth embankment about 300 feet from the spillway. An intake structure for the pipes is located about 75 feet upstream of the embankment crest. At the downstream toe is an underground concrete gate chamber, approximately 20 feet deep, containing two 30 inch spur-gear operated gate valves. About 50 feet downstream of the gate chamber is a circular stilling basin for flow from the pipes.

Three submerged arched culverts, constructed under a railroad embankment, connect the Diverting Reservoir to the Croton Falls Reservoir diversion channel. A control structure provided with stoplog slots, located on the Croton Falls side of the railroad embankment, is used for separation and control of the reservoir levels.

- b. <u>Location</u> The Diverting Reservoir Dam is located on the East Branch Croton River about 2 miles southwest of Brewster, New York.
- c. Size Classification The dam has a maximum height of approximately 50 feet. The impoundment capacity of the normal pool is about 2700 acre-feet. The dam is in the intermediate size category as defined in the Recommended Guidelines for Safety Inspection of Dams.
- d. <u>Hazard Classification</u> The town of Croton Falls, New York, is located along the West Branch Croton River within 2 miles of the dam. A failure of the dam could result in the loss of many lives and extensive economic losses. Therefore, the structure is in the high hazard category as defined by the <u>Recommended Guidelines for Safety Inspection of Dams.</u>
- e. Ownership The Diverting Reservoir Dam is part of the Croton Water Supply System, and is owned and operated by the City of New York, Department of Environmental Protection.
- f. Purpose of Dam The dam is used to divert flow from the East Branch Croton River to the Croton Falls Reservoir for water supply use.
- g. Design and Construction History According to information furnished by N.Y.S.D.E.C., the dam was completed in 1911. No information was made available concerning design and construction history.

- 1.3 PERTINENT DATA (Information obtained from the New York State Department of Environmental Conservation (N.Y.S.D.E.C.) and the City of New York Department of Environmental Protection)
- a. <u>Drainage Area</u> The drainage area to the Diverting Reservoir is about 88 square miles.
- b. Discharge at Damsite The maximum pool of record was 1.25 feet above the spillway crest on October 16, 1955. This corresponds to a discharge of approximately 4,300 cubic feet per second (cfs). The maximum non-overtopping discharge is estimated at approximately 105,000 (cfs). The combined discharge capacity at normal pool for the 30 inch outlet pipes is approximately 200 cfs. A 150 foot weir near the railroad embankment (See Figure 5), has a crest elevation of approximately 305.0 feet above MSL, according to Mr. John Birrell, Engineer for the City of New York, Department of Environmental Protection. The weir provides a separation between the two reservoirs when the reservoir water surfaces are below Elevation 305.0.

#### c. Reservoir Data

#### Normal Pool (spillway crest)

Elevation - 309.55 feet MSL Length - 8,000 feet Area - 147 acres Volume - 2,700 acre-feet

#### Top of Dam

Elevation - 320.0 feet MSL Length - 12,000 feet Area - 161 acres Volume - 4,300 acre-feet

#### Maximum Pool (PMF)

Elevation - 316.0 feet MSL Length - 10,500 feet Area - 156 acres Volume - 3,675 feet

#### d. Dam Data

Type - earth
Length - 1,000 feet
Height - 50 feet (maximum)
Top Width - 20 feet
Side slopes - 2:1 both faces
Zoning - unknown
Impervious core - concrete corewall
Cutoff - unknown
Grout curtain - unknown

#### e. Diversion Channel

Type - open channel
Length - 3,000 feet
Access - through 3 arched culverts under the
railroad embankment
Regulating facility - control structure provided
with stoplog slots and approach weir

#### f. Spillway

Type - cut stone masonry
Length of weir - 1,000 feet
Crest elevation - 309.55 feet MSL
Gates - none
Upstream channel - none
Downstream channel - East Branch Croton River;
channel directs flow parallel to the crest of the dam.

- g. Regulating Outlets Two 30 inch reservoir drain pipes equipped with gate valves.
- h. <u>Engineering data</u> The information made available for review included the following:
  - A plan sketch of the dam and appurtenances,
- A table of data for the New York City Water Supply Reservoir,
- 3) Schematic drawings of the Croton Reservoir System,
- 4) An inspection report, prepared by the State of New York, Department of the State Engineer and Surveyor, undated, including sketches of the embankment and spillway in cross-section.

The information made available is limited, but is adequate for a Phase I investigation.

#### 1.4 OPERATING AND MAINTENANCE PROCEDURES

- a. Operation The dam is used to divert flow from the East Branch Croton River into the Croton Falls Reservoir. Two 30 inch drain pipes, operable for drawdown and low flow augmentation, are controlled by gate valves located in a chamber downstream of the embankment. According to Mr. Birrell, the valves are exercised every six months and are adjusted periodically to maintain a minimum conservation discharge of 5 million gallons per day. Reservoir elevation readings are taken daily.
- b. Maintenance of Dam and Operating Facilities According to Mr. Birrell, maintenance is performed on a "most needed" basis.
- c. Flood Warning System According to Mr. Birrell, crews are placed on round the clock duty during periods of high runoff. Reservoir levels are checked hourly, and high reservoir levels or unusual observations are reported to Mr. Birrell and the Deputy Chief Engineer. Mr. Birrell would contact local police and Civil Defense units for evacuation of downstream areas for cases of impending failure or overtopping.

#### **SECTION 2 - VISUAL INSPECTION**

#### 2.1 FINDINGS

- a. General The field inspection of the Diverting Reservoir Dam took place on July 17, 1978. At the time of inspection, about 2 inches of water was flowing over the spillway crest. No underwater areas were inspected.
- Dam The cut stone masonry spillway shows no apparent horizontal or vertical misalignment. The stone blocks have worn less than 1 inch. The silt level appears to be at the spillway crest. A sketch included in an inspection report, prepared by the State of New York, Department of the State Engineer and Surveyor, (no date), shows a rolled earth embankment abutting the upstream face of the masonry spillway (See Figure 5). The northeast abutment of the spillway appears to be in good condition. No serious cracking or spalling of the concrete was observed. The concrete of the southwest abutment of the spillway has undergone surface spalling at several locations. A horizontal crack in the abutment was observed near the spillway crest elevation. The crack is continuous about the visible portion of the abutment. No seepage was observed in the abutment areas. The observed crack and localized surface spalling do not appear to adversely affect the safety of the abutment. An outcropping of what appears to be a tough micaceous gneiss or schist was observed next to the abutment.

The earth embankment is constructed perpendicular to the spillway, as shown on Figure 5. The horizontal and vertical alignment appear to be good. Riprap slope protection for the upstream face consists of large, near rectangular stone (12 to 15 cubic feet), set in place with smaller angular stone and gravel wedged between the large stone. The riprap appears in excellent condition. Brush was noted at several locations near the top of the upstream slope. A concrete retaining wall is constructed along the earth embankment near the railroad embankment. The wall extends about 100 feet along the railroad embankment and along the reservoir shoreline for about 150 feet as shown on Figure 5. Mr. John Birrell stated that a broad crested weir is constructed from the ends of the retaining wall. Mr. Birrell said that the weir is about 150 feet long, with the crest elevation about 5 feet below the normal pool.

According to Mr. Birrell, the reservor is connected with the Croton Falls Reservoir through three submerged, arched culverts. A stoplog control structure on the Croton Falls side of the culverts is provided with four openings about 8 feet wide. This structure appears in good condition. No cracking or spalling of the concrete surfaces was detected during the inspection visit.

The upper portion of the downstream slope appears well maintained. The grass had recently been cut; the surface of the slope was smooth and no misalignment was apparent.

Some standing water was observed along the toe of the upper slope (the upstream side of Carmel Road). This water appears to be from the heavy rains from the night before the inspection. Carmel Road forms a bench in the embankment about 30 feet wide. A stone wall is constructed along the downstream edge of the bench.

The lower slope of the embankment is heavily overgrown with small trees and brush. No saturated areas, standing water, movement of embankment materials, or misalignments were noted on the embankment or near the toe.

- c. Appurtenance Structures The concrete gate chamber downstream of the toe appears in good condition. No deterioration of the concrete was evidenced at the time of inspection. The gate valves and stems appear to be in good condition. The intake structure is located about 75 feet into the reservoir and is not provided with a walkway. A detailed inspection of the structure could not be made; however, it appears to be in good condition. Mr. Birrell stated that the structure is provided with stoplog slots for upstream flow control.
- d. Reservoir Area The slopes are mild and well covered with trees and brush.
- e. <u>Downstream Channel</u> The downstream channel is uninhabited for one-half mile and is heavily covered with trees and brush.

#### SECTION 3 - HYDROLOGIC/HYDRAULIC

According to the Recommended Guidelines for Safety Inspection of Dams, the Spillway Design Flood is the Probable Maximum Flood (PMF). The PMF was calculated from the 12 hour Probable Maximum Precipitation, using a loss rate of .1 inches per hour. The flood hydrograph was constructed from the Snyder unit hydrograph using average coefficients. Flood routing through the reservoir was performed assuming the gated outlets to be closed, and the diversion channel closed to isolate the Diverting Reservoir from the Croton Falls Reservoir. The peak inflow and outflow rates were calculated as 51,719 cfs and 51,688 cfs respectively. The peak outflow corresponds to a stage of 6.5 feet above the spillway crest (4 feet below the top of dam). Therefore, the spillway is hydraulically adequate for the PMF.

A drawdown analysis was performed assuming discharge from the two-30 inch outlet pipes, the starting water surface at the spillway crest, and 2 cfs per square mile inflow (175 cfs). According to the calculations, the reservoir cannot be drawn down below elevation 299.0 (about 10 feet below the spillway crest). Sixty-five days are required for the maximum drawdown.

#### SECTION 4 - STRUCTURAL STABILITY

#### 4.1 EVALUATION OF STRUCTURAL STABILITY

The embankment slopes of 2 to 1 (horizontal to vertical), with a wide bench at the middle of the downstream face, appear to be adequate for a structure of this height. A more exact assessment is not possible since data concerning the condition of the concrete corewall and the properties of the embankment and foundation materials are not available.

Stability analyses were performed for the spillway section for normal pool, normal pool and earthquake, and the PMF. The foundation reactions were outside of the central third for all analyzed loadings. A synopsis of the results is listed below. Details of the analyses are located in the appendix.

Condition	Factor o	Pressures (psi)		
	Overturning	Sliding*	Heal	Toe
Normal Pool	1.41	7.09	6.25(T)	43.5
Normal Pool & Earthquake	1.35	6.67	9.3(T)	46.6
Probable Maxi- mum Flood	1.09	<b>6.</b> 76	17.1(T)	43.3

#### 4.2 SEISMIC STABILITY

The Diverting Reservoir is located in the New England Uplands physiographic province, and is founded on Paleozoic granites and schistose gneisses. Outcrops of both formations were noted during the inspection visit: schistose gneiss at the southeast abutment of the spillway, and granite along the diversion channel to Croton Falls Reservoir. According to the "Geologic Map of New York, Lower Hudson Sheet", ancient faulting has been mapped near the western boundary of the reservoir and to the northwest of the abutment area. No evidence of these structural features were observed during the field inspection.

<sup>\*</sup>With shear

<sup>(</sup>T) indicates tension

The dam is located in Seismic Zone 1 of the "Seismic Zone Map of Contiguous States", and satisfactory static stability conditions are considered adequate for earthquakes.

#### SECTION 5 - ASSESSMENT/REMEDIAL MEASURES

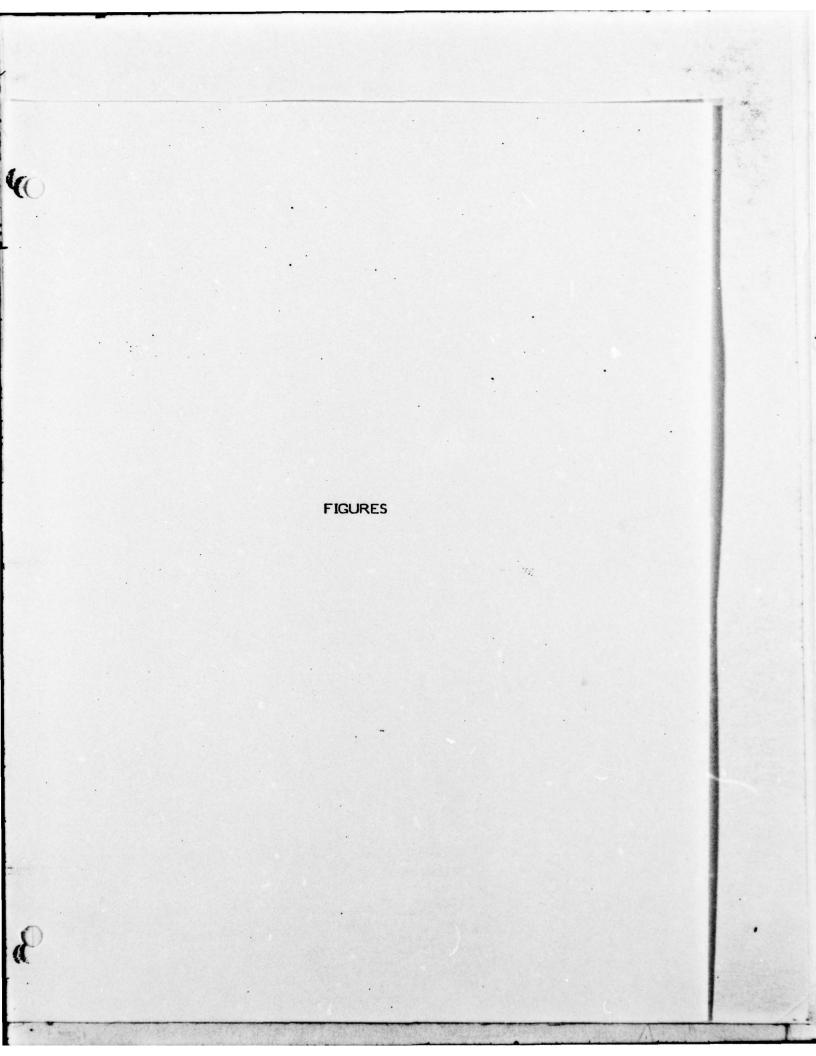
#### 5.1 ASSESSMENT

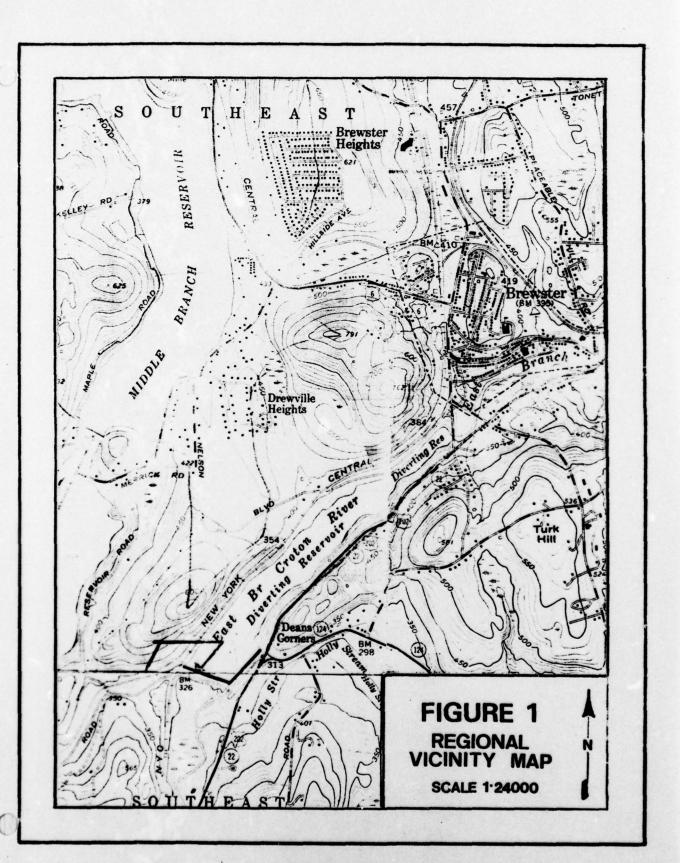
No indications of instability were observed during the field inspection of the Diverting Reservoir Dam. Review of the stability analyses for the spillway indicates that the requirements of the Recommended Guidelines for Safety Inspection of Dams are not met. The foundation reaction is outside of the middle third of the base for all conditions analysed.

The PMF hydrology was analysed assuming the stoplogs to be in place at the control structure for the diverting channel. The spillway is found to be hydraulically adequate for this condition.

#### 5.2 RECOMMENDATIONS/REMEDIAL MEASURES

The gravity overflow section should be strengthened to provide adequate factors of safety for extreme loading conditions. This could be accomplished by making the structure more massive, or by the installation of post-tensioned tendons through the structure.





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	94.61	86.6	15.531	9911.0	177.52					

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19.563	17.6	179	1145.0	16.5	1:30.0		1050.0	80.00	
147.44									

T CROTON, CATSVILL & DELAWARE SYSTEMS TOTALLING 547.5 BIL. GAL. ABOVE MIN.

2000		MOX.	Mos Hot of	How Hot of Moin Don		of Dam	length	of Don
Storage	se way	Seiow	Above Lowest	ADOVE	/in	7	Fee	
allons	Feet	Spilling	Foundation real	Surface Foot	קכד	Bott.	Total	Masony Portion
	125 0		78.0	57.0	6.60	55 60	6700	6700
_,	260 0		66.0	620	15.00	307.50	1794.5	260.0
3	AC ST. W		65.0	300		240.00		
3	1000	3	94.0		50.00	660.00	015.0	100.0
8		- V	N. 1 33.8	33:8	72.88	173:18	1338.8	
V	5000	3	96.0	70.0	12.00	55.00	1100.0	500.0
श	1000.0	3	51.0	45.0	15.00	240.00		1000.0
	700 0		173.0	113.0	29.00	118.00	1300.0	1100.0
	50.0			82.0	35.00	056.00	1270.0	30.0
	2000		135.0	107.0	2070	75.21		3240
	2A0.0		170.0	126.0		118.30		8+00
	9500		30.0			40.00		
	10000		277.0	174.0	18.00	206.00	2/65.0	2/65.0

Most of the Crotor Carried by the Ne Aqueduct

4/37	950.0	3.4.3.3	252.0	210.0	26.33	200.00	46500	1000.0
	1324.0	150.0	252.0 162.0	155.0	13.00	138 00	22000	2000.0
				10000				

Schoharie water is a Tunnel to Autokan I enter the Casekill Aq

(07 X 1 10 5 7 17 0 1 17 0 1 45 00 13/7 00 17600 01 4	6.000 800.0 180 00 304.0 204.0 60.00 M60.00 2450.0 1.892 3 340.0 2500 196.0 179 0 45 00 1312 00 2800.0	 600.0	25.00	176.0	1770	45 00	13/2 00	2800.0	
	1.600 600.0 175.00 345.0 195.0 60.00 1392 00 2020 0 NO								

Neversink, Pepactor are conveyed via the & W. Delaware Tunn Thence all Four su

4.30 SOME OF THE CROTON SUPPLY (SEE REMARKS).

of the Croton Supple plus pumpage fro Folls flows into ke

Wormer me

17.5 BIL. GAL. ABOVE MIN. VA SAFETY STORAGE RES KENSICO ingth of Dom reet MOSOM dol Portion 700 6700 94.5 260.0 410 15.0 100.0 18.8 00.0 500.0 90.0 1000.0 0.00 1100.0 70.0 30.0 19.0 329.0 600 6400 10.0 1:1330 200 2/60.0

Comp J.J.D. Grown JRD.

REMARKS Most of the croton Supply is carried by the New Croton

1500 1000.0 60.0 2000.0

Schoharie water is conveyed by the Shandaken Tunnel to Autoxan Res. Thence both supplies enter the Laiskill Aqueduct.

1200 NONE 50.0 00 0 00.0

Neversink, Pepacton & Connonsville supplies are conveyed vio the Neversink, East Delaware W. Delaware Tunnels to the Rondout Res. Thence all Four supplies enter the Del. Aqueduct.

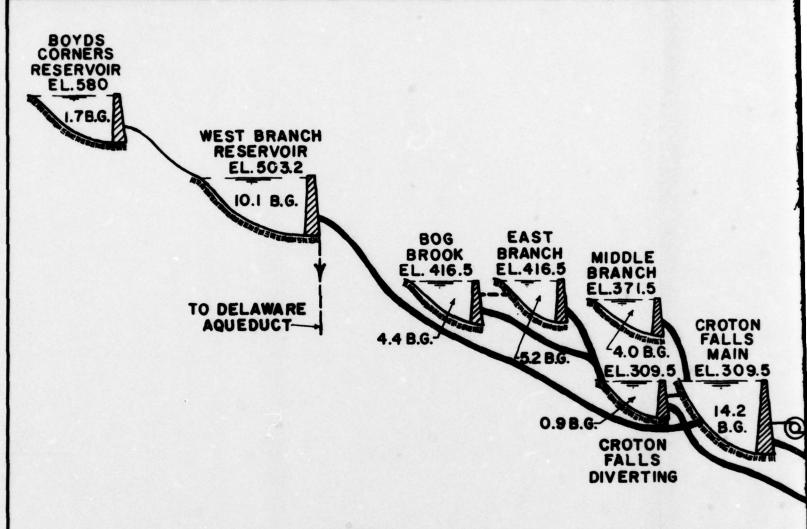
FIGURE 2

1043.0

of the Croton Supply, all of the nest Branch plus pumpage from cross River & Croton Falls flows into kensico Reservoir.

ACC NS

Wishing to Beer Quality Palentiania



### LEGEND

- NATURAL WATER COURSE.

- TUNNEL AQUEDUCT.

- GRADE AQUEDUCT.

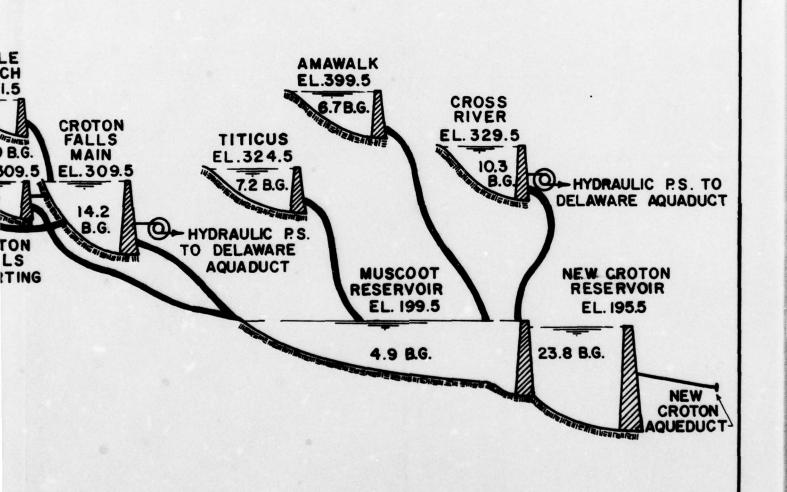
## NOTE

ELEVATIONS OF RESERVOIRS ARE AT MASONRY CREST OF SPILLWAY.
FIGURES SHOWN IN RESERVOIRS ARE CAPACITIES IN BILLION GALLONS.

ELEVATIONS REFER TO M.S.L. SANDY HOOK.

1

PR

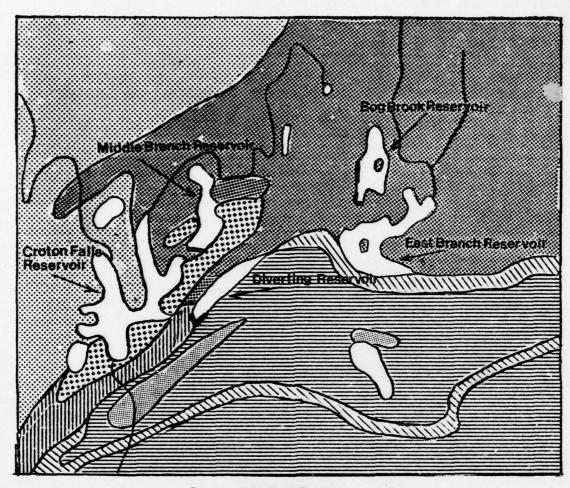


CITY OF NEW YORK BUREAU OF WATER SUPPLY

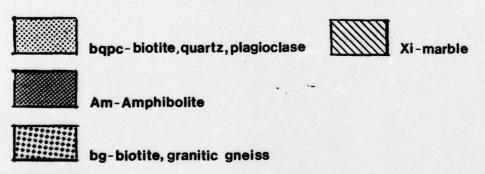
PROFILE OF FLOW DIAGRAM FOR CROTON SYSTEM

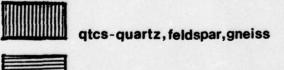
2

FIGURE 3



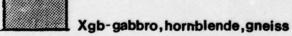
Scale: 1 inch = 1.7 miles

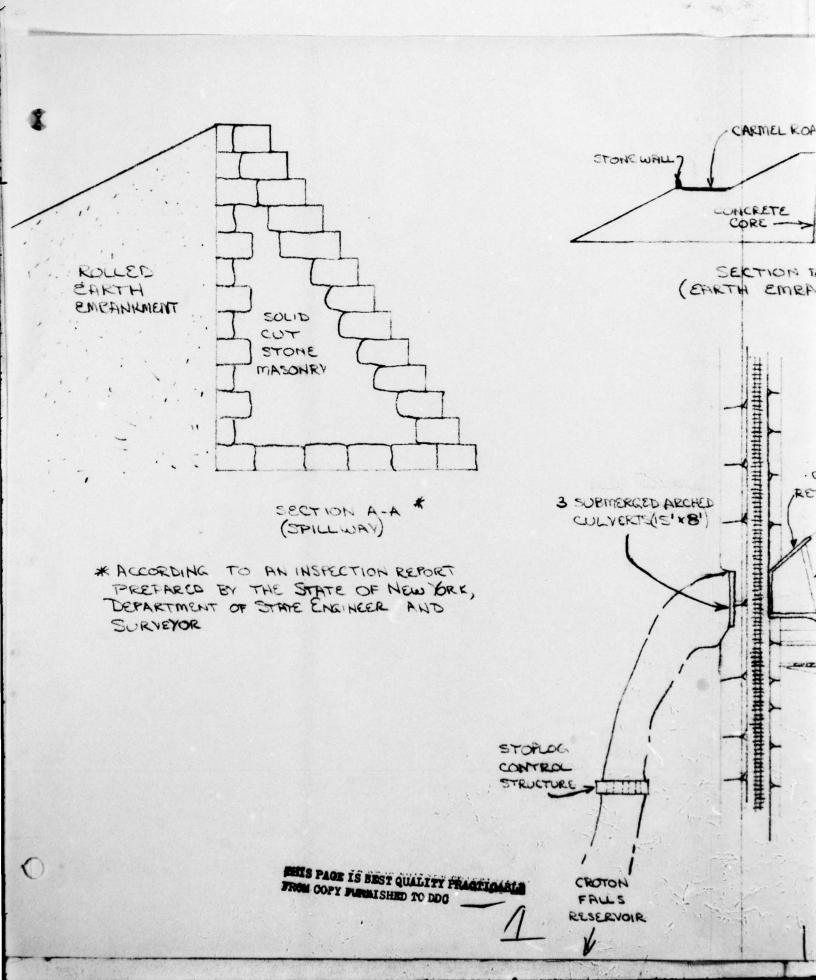




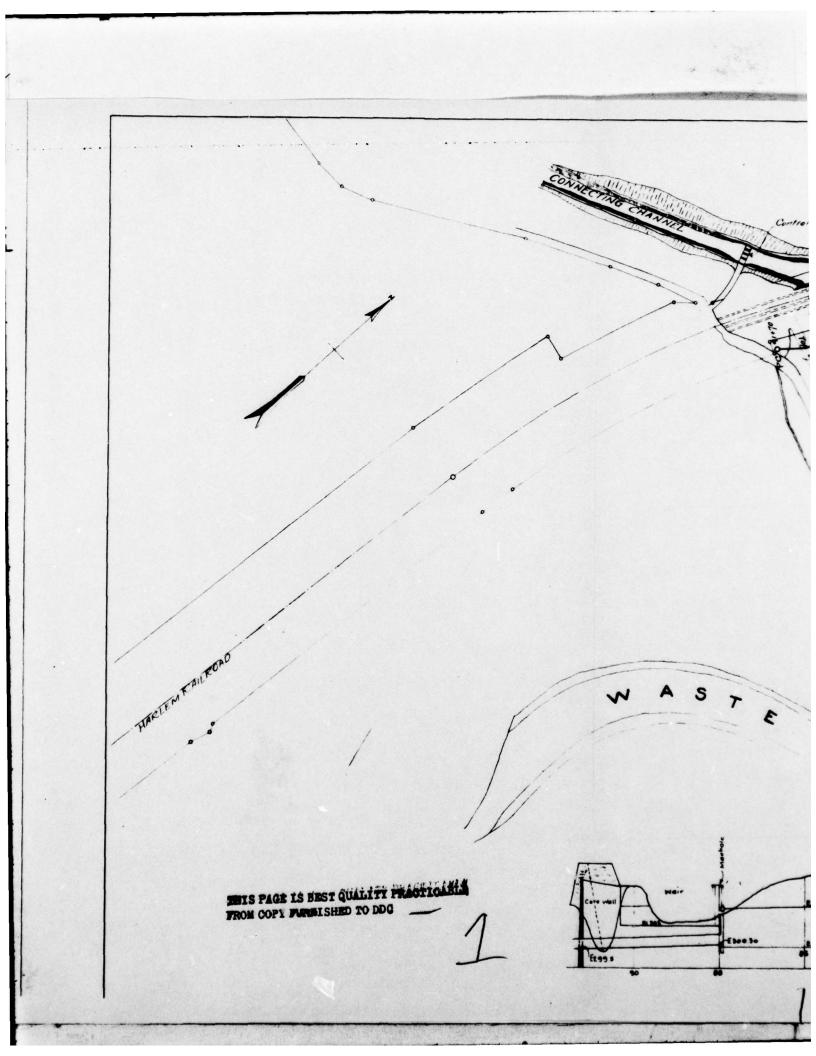
Xm-schistose gneiss

Figure 4 **Geologic Map** 





CARMEL ROAD SPILLWAY ABUTMENT RIPRAPPED 5 SLOPE STEWARDS . NCRETE CORE -SECTION B-E ETH EMBANKMENT) CUT STONE MASONRY SPILLUAY -DIVERTING RESERVOIR CONCRETE FROM CORY PARALSHED TO DDG RETAINING WALL ALL PA SUBMERGED INTAKE SPILLWAY WEIR STRUCTURE ABUTMENT 2-30" RESERVOIR DRAIN PIPES CHRMEL ROAD GATE CHAMBER PLAN & SECTIONS OF PROJECT FEATURES HOT TO SCALE FIGURES



O, LEBAILO DIVERTING OPZ BASIN A FIGURE 6 773-X APPENDIX

'04'C

**PHOTOGRAPHS** 

(()



DOWNSTREAM CHANNEL FROM CARMEL ROAD BRIDGE



**UPSTREAM SLOPE OF EARTH EMBANKMENT** 



DISCHARGE CHANNEL FROM OUTLET WORKS



SOUTHWEST ABUTMENT AT JUNCTION OF SPILLWAY AND EMBANKMENT

FIELD INSPECTION REPORT

Check List Visual Inspection Phase 1

State New York Coordinators	Temperature 750	Tailwater at Time of Inspection M.S.L.			Recorder
Name Dam Diverting Reservoir Dam County Putnam State	Date(s) Inspection 7/17/78 Weather Clear Temper	Pool Elevation at Time of Inspection 306 M.S.L. Tailw	Inspection Personnel: Mr. George Elias	Mr. David Campbell	Mr. Stephen Snider Mr. David Campbell

Accompanied by:

Mr. John Birrell, Section Engineer, New York City Department of Environmental Conservation Mr. Edward Stoorza, Section Foreman, New York City Department of Environmental Conservation

# CONCRETE/MASONRY DAMS

VISUAL EXAMINATION OF	OBERSVATIONS	REPARKS OR RECOMMENDATIONS
SURFACE CRACKS CONCRETE SURFACES	A horizontal crack was noted in the spillway's southwest abutment.	The crack does not appear to affect the stability of the structure.
STRUCTURAL CRACKING	None noted.	None.
VERTICAL AND HORIZONEAL ALIGNÆNT P	Vertical and horizontal alinement is excellent.	None.
MONDLITH JOINTS		
CONSTRUCTION JOINTS	All joints appeared to be tight.	None.
FROM CORY PRINCE TO DUE TO DUE		

# EMBAMOGNI

VISUAL EXAMINATION OF	OBSERVATIONS	REMARKS OR RECOMMENDATIONS
SURFACE CRACKS	None noted.	None.
UNUSUAL MOVEMENT OR CRACKING AT OR BEYOND THE TOE	None noted.	None.
SLOUGHING OR EROSION OF ENEANGENT AND ABUTHENT SLOPES  \$\text{C}\$	None noted.	None.
VERTICAL AND HORIZONTAL ALINEMENT OF THE CREST	Vertical and horizontal alinement is good.	None.
RIPRAP FAILURES  RIPRAP IS IN OFFICE IS BEST QUALITY PRAGRICABLE  FROM COOPY MANISHED TO DOG	Riprap is in excellent condition. LITY PRAGISABLE	None.

VISUAL EXAMINATION OF	OBSERVATIONS	REMARKS OR RECOMMENDATIONS
JUNCTION OF ENGANGENT AND ABUTHENT, SPILLNAY AND DAN	No problem areas were observed.	None.
ANY NOTICEABLE SEEPAGE  B	A small amount of standing water was noted along the embankment bench. It appeared to be due to heavy rainfall from the previous night.	None.
STAFF CAGE AND RECORDER	No gages were observed, but reservoir water surface readings are taken daily.	None.
DRAINS	No drains were noted.	None,

	. UNGATED SPILLWAY	
VISUAL EXAMINATION OF	ODSERVATIONS	REMARKS OR RECOMMENDATIONS
CUT STONE WEIR	The solid cut stone masonry weir is in excellent condition. Both the horizontal and vertical alinement are excellent. No undermining or wear were observed.	The spillway appeared to be silted in, but an inspection report (undated) shows a rolled earth embankment abutting the spillway's upstream face.
APPROACH CHANNEL	None.	None.
DISCHARGE CHANNEL	The spillway discharges into a side channel. The channel is clear of debris and the overbanks are heavily covered with trees and brush.	None.
BRIDGE AND PIERS	A clear span bridge is located just downstream of the spillway. The arched opening is about 400 square feet.	High flows could cause overtopping of the bridge and resultant damage to areas of the lower portion of the embankment.
JROM OOFY PAREST QUALITY FRACTICABLE	DOO PRACTICABLE	
		no sisting game and describe the contraction of the

	REMARKS OR RECOMISHDATIONS	None.	None.	The discharge pipes terminate in a vertical orientation, creating a fountain outlet.	None.	The Section Engineer stated that the valves are exercised periodically and are in good working order.
OUTLET WORKS	OBSERVATIONS	The gate chamber downstream of the embankment is in excellent condition. No cracking or spalling of concrete surfaces was noted.	The intake is a cut stone masonry structure located offshore. No access was available for a thorough inspection, but the structure appeared to be in good condition.	A small circular stilling basin is constructed about 100 feet downstream of the embankment. The basin is used for dissipating the excess energy of discharge through the outlet works.	The outlet charmel from the stilling basin is about 150 feet long. The charmel joins the spillway discharge charmel (East Branch Croton River).	The pipes of the outlet works are controlled by gate valves located in a concrete gate chamber downstream of the embankment. The valves appear to be in good condition but were not operated.
0	VISUAL EXAMINATION OF	CRACKING AND SPALLING OF CONCRETE SURFACES IN OUTLET CONDUIT	INTAKE STRUCTURE	OUTLET STRUCTURE	OUTLET CHANNEL	EMERGENCY GATE

.

# MONITORING SYSTEMS

Reservoir is visited daily. Reservoir water surface elevation is measured daily.

# MODIFICATIONS

Uhknown.

HIGH POOL RECORDS

According to Mr. Birrell, the highest pool of record was 1.25 feet above the spillway crest on October 16, 1955.

POST CONSTRUCTION ENGINEERING STUDIES AND REPORTS

A9

None made available.

PRIOR ACCIDENTS OR FAILURE OF DAM DESCRIPTION REPORTS

No information made available.

MAINTENANCE OPERATION RECORDS

None made available.

О	REMARKS OR RECOMMENDATIONS	None.	No information could be found to explain the reason the earth embankment was constructed.		
RESERVOIR	OBSERVATIONS	Reservoir slopes are mild and well covered with trees and brush.	During the inspection visit, the spillway appeared to be silted in. A review of a previous inspection report (undated) revealed that a rolled earth embankment is constructed against the upstream face of the spillway.		
0	VISUAL EXAMINATION OF	SLOPES	? / DINENTATION	A10	

REMARKS OR RECOMMENDATIONS	None.	None.	The Section Engineer stated that local police and Civil Defense personnel would be alerted in the event of impending overtopping or failure.
ODSERVATIONS	The downstream channel is clear of debris.	Downstream slopes are mild to moderate, and are well covered with trees and brush.	The town of Croton Falls, New York is located about 2 miles downstream from the dam. About 50 dwellings are located low enough to be affected by flood waters.
VISUAL EXAMINATION OF	CONDITION (OBSIRUCTIONS, DEBRIS, ETC.)	SLOPES	APPROXIBATE NO. OF HONES AND POPULATION E

DOWNSTREAM CHANNEL

DESIGN REPORTS

None made available.

GEOLOGY REPORTS

None made available.

DESIGN COMPUTATIONS
HYDROLOGY & HYDRAULICS SEEPAGE STUDIES DAM STABILITY

None made available.

MATERIALS INVESTIGATIONS BORING RECORDS LABORATORY FIELD

None made available.

POST-CONSTRUCTION SURVEYS OF DAM

None made available.

BORROW SOURCES.

Unknown.

HYDROLOGIC AND HYDRAULIC COMPUTATIONS



JUSTIN & COURTNEY, INC.

Division of O'Brien & Gere Engineers, Inc. SHEET NO.

PHILADELPHIA, PA

DATE 8/3/18

NAME OF CLIENT NYSDEC

COMP. BY DBC

PROJECT Croton Falls Diverting Reservoir

CHECKED BY ROLL

Drainage Area = 87.58 sq. miles

L= 24 miles Lca= 11 miles

Use average Inyder coefficients Cz=2.0 Cp=.625

tp=Cf(L&loi)3 = 10.65 hrs. tp=tr/5.5=1.94 hrs. Use tp=20 hrs

6h-. Pmp=24"

· less "probable migfit of basin to storm isohyotals = 13.5%

6 h. PMP - 20,3".

-xarea factor (.75)

Chn. PMP = 15.2" (wer)

12hr. PMP = 20.3"x.87 =17.7"

## JUSTIN & COURTNEY, INC.

Division of O'Brien & Gere Engineers, Inc. SHEET NO...
PHILADELPHIA, PA NYSDEC

PROJECT Croton Falls Divertina

Time (hrs)	PM	P(in.)	
0-2	9.9	9.9	65% CHR PMP
2-4	12.9	3.0	85% GHE PMP
4-6	15.2	2.3	100% GHR PMP
6-8	16.2	1.0	
8-10	17.0	.8	& IZ HR. PMP - GHR. PMP
10-12	17.7	7.	17.7-16.2=2.5

### Third@vartile Distribution

Time (hrs)	PME	P(in.)
0-2	יל.	.7.
2-4	1.77	1.0
4-6	4.0	2.3
6-8	13.9	9.9
8-10	16.9	3.0
10-12	17.7	.8

\* MINIMUM LOSS RATE = . Zincles/hour

## JUSTIN & COURTNEY, INC. Division of O'Brien & Gere Engineers, Inc. SHEET NO. PHILADELPHIA, PA

SHEET NO. 3 OF\_\_\_

NAME OF CLIENT NYSDEC

DATE 8/3/78

PROJECT Croton Falls Diverting Reservoir

COMP. BY

Stage-Discharge Relation

Spillway Length = 1000ft.

Spillway crest elevation = 309.55 ft MSL Top of Dam elevation = 320.0 ft MSL

Qs:11 = 3.1 × 1000 × H3/2

Reservoir Elevation	H	Qs
387.85	0	. 0
311.0	1.45'	5413
313.0	3.48	19865
315.0	5.45	39442
317.0	7.45	63037
319.0	9.45	90055
320.0	10.45	104722

Surface area of reservoir @spillway crest 1147 acres (Elevation 309.55)

Surface area @ Elevation 315.55

	Division of O'Brie	COURTNEY, INC. m & Gere Engineers, Inc. DELPHIA, PA	SHEET NO. 4 OF
NAME OF CLIENT_		<u> </u>	COMP. BY DBC
ent	on Follo Divertin	na Reservoir	CHECKED BY ROOM

Assume surface area to vary linearly with height above spillway created

A = 4/3 H + 147, \$
Surcharge Storage (S) = SAdH

:. S = 2/3 H² + 147 H + \$^0

Reservoir ' Clauation	4(4)	5 (acreft.)
309.55	0	0
311.0	1.45	215
313.0	3.45	515
315.0	5.45	821
317.0	7.45	1132
319.0	9.45	1449
320.0	10.45	1609

J	US	LIN	& C	JO	RTNE	Y,	INC.	
Division	of	OT	rien	&	Gere	En	gineers,	Inc.
		PH	ILAD	EL	PHIA.	PA		

DATE 8/3/78

NAME OF CLIENT NYSDEC

COMP. BY DBC

O' PROJECT Croton Falls Diverting Reservoir

CHECKED BY

Assume surface area to vary linearly with height above spillway created inearly

A = 4/3 H + 147, \$
Surcharge Storages(S) = SAdH

:. S = 2/3 H² + 147 H + \$°

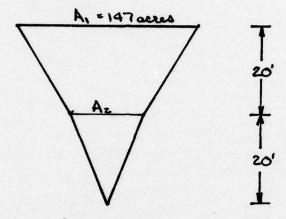
Reservoir : Elevation	4(4)	S(acreft.)
309.55	0	0
311.0	1.45	215
313.0	3.45	515
315.0	5.45	821
317.0	7.45	1132
319.0	9.45	1449
320.0	10.45	1609

JUSTIN & COURTNEY, INC.  Division of O'Brien & Gere Engineers, Inc.  PHILADELPHIA, PA	SHEET NO. 5 OF
NAME OF CLIENT NYSDEC	200
O PROJECT Diverting Reservoir	CHECKED BY
Drawdown Analysis	
Discharge from 3t" pipes	
H= (1+Ke+Ky+29n2L) 29	
N=.015 Ke= entrunce and exit losses=1.5 Kv= value loss=.5	
\$ 1/29 = 9/29 A2	
$14 = (1+1.5+.5+\frac{.625^{973}}{.625^{973}}) \frac{Q^2}{29 \times (11 \cdot 1.25^2)^2}$	
H = .00374 Q2	2.5
Q = 16.3 H'/2 per pipe	
For both pipes Q7=32.6H/2	
Inflow = 2 cfs/sq.mi ×87.6 sq.mi	2 175cfs
NET OUTFLOW (On)= 32.6 H1/2-175	
H   40   35   30 Qn   31   18   4	29
Qn 31 18 4	10

Perenvoir outlet works cannot drawdown () the pool below H-29 (2 Elevation 299.0)

	Division of O'Brien & Gere Engineers, Inc. PHILADELPHIA, PA	26 62
NAME OF CLIENT	NYSDEC	COMP. BY DEC.
PROJECT_DI	· + • P · · · -	CHECKED BY REH

Normal pool volume = 2700 avre-feet Depth = 40 feet



2700 = 20 × (147+Az)/2 + 1/2×20×Az Az = 61.5 acres

HA	HAVE	AWE	45	QNAVE	DT (has)	ET (days)
40635	37.5	136.3	682	24.6	335	14
35 to 30	32.5	114.9	575	10.8	644	41
30to 29	29.5	102.1	102.1	2.1	588	65

For the assumed inflow of 2cfs/sq. mi., the reservoir cannot be drained below Elevation 2990.

O						
HEG-1 VERSION DATED JAN 1973 UPDATED AUG 74 GYANSE ND. 01	NATIONAL DAM INSPECTION PROGRAM DIVERTING RESERVOIR DAM PMF HYDROGRAPH JOB SPECIFICATION NO NNR NMIN IDAY IHR IHIN METRC IPLT IPRI NSTAN SO 2 0 1 0 0 0 0 50 5 0 5 0	MULTI-PLAN ANALYSES TO BE PERFORMED NPLAN= 1 NRTIO= 5 LRTTO= 1 RIIOS≅ .20 .40 .60 1.03	SUB-AREA RUNDEE COMPUTATION  1 STAG ICOMP IECON ITAPE JPLT JARNE  1 0 0 0 0 0 0	HYDE TAREA SNAP TRSDA TRSPC PATIO ISNOW ISAME LOCAL TAREA SNAP TRSDA TRSPC PATIO ISNOW ISAME LOCAL O.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0	STRKR DLTKR RIIGL FRAIM STRKS RIIOK STRTL CNSTL 0.00 0.00 1.00 0.00 0.00 1.00 0.00  UNII HYDROGRAPH DATA  TP= 10.65 CP= .63 NTA= 0  RECESSION DATA  RECESSION DATA	P AND TP ARE TG= 6.29 AND R=  0 DROINATES, LAG= 10.74 HOUR  3107. 3309. 3039.  76. 62. 50.  END=OF=PERION FLOW  RAIN EXCS COMP 0  1.00 .50 617.  2.30 2.10 617.  2.30 2.10 617.  9.30 9.70 6691.

				•																											
	••	1303.																											-		
	• •	1601.																													
1 VOLUME 92616. 3.29	• •	9766. 1967. 251.		76747.	464081.																										
TOTAL	• •	2417. 308.	1 01		TOTAL																						-				
72-HOUR 2578.		2970. 2	1.	76747.	72-HOUR 12891.	464081.			••	9	::	0 0		 16.	380.	524.	831.	1542.	1094	2860.	4317.	5304.	8006.	9836.	12085.	18243.	22414.	33806.	41152.	51719.	40310
4-HOUR 6527. 2.77		7	1 FOR	64.768.	24-HOUR 32637.	16.50	0.00	0.00	0.00	0000	0.00	0.00	0.00	 000	0.00	00.00	00.0		0.00	0.00	00.0	00.0	0.00	00.00	0000	0.00	00.0	0.0		0.0	000
2	m	3649	IA	1			0.00	00.00	00.0	00.00	0.00	00.00	00.0	00.00	0.00	0.00	0.00	000	00.0	0.0	00.00	0.00	00.00	0.00	00.00	0.00	000	00.00	00.0	00.00	200
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11328. 5939. 758.		72-HOUR 5156. 6.57.	16992. 8909.	••	72-HOUR		22656. 11878.	1515.	22	1	L PLAN 1.	28320.	- <b>-</b>	12891. 16.43		JFLT	TRE	× 00 0
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2677. 8965. 1144.		6-HOUR 19853. 2.11 9850.	AI_SIA 5. 1		6-HOUR 29780.		3. 1.	77.	102	19699.	9	436	96.	6-HOUR 49633. 5-27 24624.		==	20	J. LAG
		PEAK 20687.	80		PEAK 31031.		380		375		50	2023. 66 27536. 224 3513. 28		PEAK 51719.		TAQ ICOMP	0.0	NSTPS NSTOL
=		JFS INCHES AC-FT	0. 1214. 4. 16523.		JFS .	4C-FT	4. 1619. 5. 22030.		3FS	INCHES AC-FT				SFS INCHES AC-FT	:	181		NS
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STABILITY ANALYSES

DIVISION of O'Brien & Gere Engineers, Inc.

PHILADELPHIA, PA

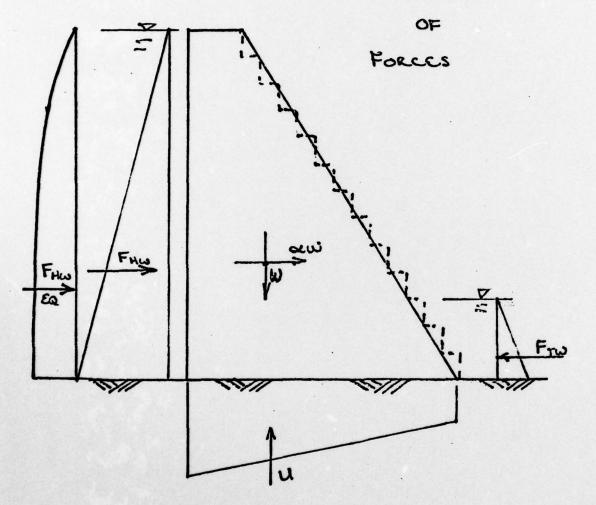
DATE 6/3/18

NAME OF CLIENT NYSDEC

PROJECT DIVERTING RESERVOIT

CHECKED BY

#### EXPLANATION



w-weight of dam

aw - Inertial force of dam during earthquake

FHW - Headwater force

Fres - Tailisater force

Figure - Inertial force of headwater during earthquake

U - Uplift force

	4= 309.55FT. BASE WIDTH= 30.00FT. DENSITY= 165.00PCF RELEVATION= 0.00FT. EARTHDUAKE ACCELERATION=*.000G (HORIZ)000G (VERT) ISUBHERGED!= 75.00PCFSILT PRESSURE COFFICIENT(K)=33 30.00FT. FRICTION FACTOR= .65	OVERTURNI NG HOHENT	642.74 740.38	1640.63			
	165.00PCF TON***.000G IENT(K)=	OVERTU					
M_INSPECTION_PROGRAM-DIVERTING RESERVOIR DAM	BASE WIDTH= 30.00FT. DENSITY= 165.00 0.00FT. EARHDUAKE ACCELEATION***.075.00PCESILL_PRESSURE_COFFICIENT(K)= 110N FACTOR= .65	STA9ILIZING HOHENT	2310.12	2310.12		ENSION AT HEEL OF DAMP	SE MIDTH)
NORMAL POOL	109.55FT. BASE WIDTH= 30 LEVATION= 0.00FT. EARTH WERGEDI= 75.00PCF SILT PI 00FT. FRICTION FACTOR= .65	ARM (FEET)	19.67	13.18		ENTER= 6.68 FEET ALTHIRD OF BASE ************************************	ACROSS FULL NASE
NORMAL PROPERTY AND THE PROPERTY OF THE PROPER		FORCE(KIPS)	117.46 49.80 37.02	19,53		ION= 8.32 FEET REACTION FROM CENTER= LLOM.NOI_IN CENTRAL_THI JRES====================================	(NO SHEAR) = .65 JF SAFETY = 7.09(SHEAR
	BASE ELEVATION= 270.00FT. TOP ELEVATION= 309.55FT HEADWATER ELEVATION= 309.55FT. TAILWATER ELEVATION SILT ELEVATION= 309.55FT. SILT DENSITY(SUBHERGED)= SMEAR STRESS= 100.00°SI SHEAR MIDTH= 30.00FT. F	LOADING	MEIGHT OF DA4 MEADWATER UPLIFT	SILE	NET HORIZONTAL FORCE 69.34 KIPS O NET VERTICAL FORCE 60.44 KIPS NET HOMENT 669.49KIP-FEET	X-3AR OF FOUNDATION REACTION = 8.32 FEET FCSENTAISITY OF FOUNDATION REACTION FROM CENTER = 6.68 FEET ***********************************	SELUTION WITH SHEAR FASTOR OF SAFETY=

*		5.00PGF .000G (HORIZ)000G (VERT) [K12 .33	OVERTURNI NG HOMEN T	92°36	1029-60 257-51	2196.47		
	DN_PROGRAH=DIVERTING_RESERVOIR_DANBLE_MAXIMUM FLOOD	FT. BASE WIDTH= 30.00FT. DEMSITY= 165.00PCF  ON= 2-D.00FT. EARTHQUAKE ACCELERATION***.000G (HORIZ)000G (VERT)  JE 75.00PCF SILT PRESSURE COFFICIENTIAL .33  FRICTION FACTOR= .65	ARMIFEET) STABILIZING ON MOMENT NO NEW T	19.67 2310.12 14.61 A3.42		2393.23 2196.47	11.53 FEET OF BASE****TENSION AT HEEL OF DAM**** I******HEEL= -17.11 PSI******** ACROSS FULL BASE HIDTH)	
	NAFIDNAL DAM INSPECTION PROBABL	TOP ELEVATION= 309.55 OFT. TAILHATER ELEVATI SILT DENSITYISUBHERGED HEAR MIDTH= 30.00FT.	FORGE(KIPS) AR	117.46	19.61			
6		BASE ELEVATION= 270.00FT. HEADWATER ELEVATION= 315.0 SILT ELEVALION= 304.35FL. SHEAR STRESS= 100.00PSI S	LOADING	NEIGHT OF DAY HEADWATER		28	NET HORIZONTAL FORCE 69.31 KIPS NET VERICAL FORCER 56.62 KIPS NET HOMENT 196.76KIP-FEET X-BAR OF FOUNDATION REACTION ECCENTRICITY OF FOUNDATION REACTION NOT IN FOUNDATION REACTION NOT IN FOUNDATION REACTION NOT IN FOUNDATION REACTION NOT IN FOUNDATION REACTION NOT SAFETY 5.69.30.5 SLIDING FACTOR OF SAFETY 5.53 DEVELOPED FRICTION FACTOR (NO SHEAR) SLIDING MITH SHEAR FACTOR OF SAFETY	

2 meses t

PREVIOUS INSPECTION REPORT 0

### STATE OF REW YORK DEPARTMENT OF

#### State Anginers and Graneyor

#### ALBANY

#### Report of a Structure Impounding Water

To assist in carrying out the provisions of Section 22 of the Conservation Law, being Chapter LXV of the Consolidated Laws of New York State, relating to safeguarding life and property and the crection, reconstruction, or maintenance of structures for impounding water, owners of such structures are requested to fill out as completely as possible this report form for each such dam or reservoir owned within the State of New York for which no plans or reports relative thereto are on file in this Department, and to return this report form, together with prints or photographs explanatory thereof to this department. Croton Talls Diverting Weir 1. The structure is on The esat branch William of the Croton River in the Town of Southeast County of Putram xxi: New York about 3-1/4 piles no the wife from Village of Croton Falls, Westehester (Cive taset distance and direct a from Vivil from Village main cross-roads or mouth of a stream) 3. The name and address of the owner is the City of New York 4. The structure is used for impounding water for water supply 5. The material of the right bank, in the direction with the current, is \_\_\_\_\_; at the spiliway crest elevation this material has a top slope of \_\_\_\_\_inches vertical to a foot horizontal on the center line of the structure, a vertical thickness at this elevation of \_\_\_\_\_\_feet, and the top surface extends for a vertical height of \_\_\_\_\_\_feet above the spillway crest. 6. The material of the left bank is \_\_\_\_\_\_\_; has a top slope of \_\_\_\_\_\_inches to a foot horizontal, a thickness of \_\_\_\_\_\_feet and a height of \_\_\_\_\_\_feet. 7. The natural material of the bed on which the structure rests is (c'ay, sand, gravel, boulders, granite, sha'e, slate, limestone, etc.) 8. State the character of the bed and the banks in respect to the hardness, perviousness, water bearing, effect

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FROM OOPY PURMISHED TO DOG .....

of exposure to air and to water, uniformity, etc.........

9. If the bed is in layers, are the layers horizontal or incline?
direction of the horizontal outcropping relative to the axis of the main structure and the inclination and direction
of the layers in a plane perpendicular to the horizontal outcropping?
10. What is the thickness of the layers?
11. Are there any porous seams or fissures?
11. Are there any porous scans of headres.
12. The watershed at the above structure and draining into the pond formed thereby issquare miles.
13. The pond area at the spillway crest elevation is 154 acres and the pond impounds 118.7 m
cubic feet of water.
14. The maximum known flow of the stream at the structure was
(Dav.)
15. Has the spillway capacity ever been exceeded by a high flow?
Can any possible flood flow from the pend otherwise than through the wastes noted under 17 and 18 of this
report?
character and slopes of the ground of such possible wastes
16. State if any damage to life or to any buildings, roads or other property could be caused by any possible failure of the above structure. Describe the location, the character and the use of buildings below the structure which might be damaged by any failure of the structure; of roads adjacent to or crossing the stream below the structure, giving the lowest elevation of the roadway above the stream bed and giving the shape, the height and the width of stream openings; and of any embankments or steep slopes that any flood could pass over. Also indicate
the should be an in the fifth around below the structure
the character and use made of the ground below the structure.
In case the dam should fail, the released water would flow down the
In case the dam should fail, the released water would flow down the East Branch of the Croton River into the New Croton Reservoir, a distance of about 2-1/2 miles (No great damage or loss of life would
In case the dam should fail, the released water would flow down the East Branch of the Croton River into the New Croton Reservoir, a distance of about 2-1/2 miles (No great damage or loss of life would occur.
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17. Wastes. The spillway of the above structure is 1000 feet long in the clear; the waters are held at the right end by an earth dam the top of which is 10 feet above the spillway crest, and has a top width of 15 feet; and at the left end by a hillside , the

no 19. Apron. Below the spillway there is xn apron bulktof. but. 1. heavily naved was temphon n. (Material)
feet wide and feet thick. The downstream side of the apron has a thickness of feet
for a width offeet.
25. Has the structure any weaknesses which are liable to cause its failure in high flows?
Sketches. On the back of this report make a sketch to scale for each different cross-section of the above structure at the greatest depth; giving the height and the depth from the surface of the foundation, the bottom width, the top width (for a concrete or masonry spillway at two feet below the crest), the elevation of the top in reference to the spillway crest, the length of the section, and the material of which the section is constructed; on the spillway section show a cross section of the apron, giving its width, thickness and material, and show the abutment or wash wall at the end of the spillway, giving its heights and thickness. Mark each section with a capital letter. Also sketch a plan; show the above sections by their top lines, giving the mark and the length of each; the openings by their horizontal dimensions; the abutments by their top width and top lengths from the upstream face of the spillway section; and outline the apron. Also sketch an elevation of each end of the structure with a cross section of the banks, giving the depth and width excavated into the banks.
22. WATER SUPPLY. The waters impounded by the above structure have (net) been used for a public water
supply since

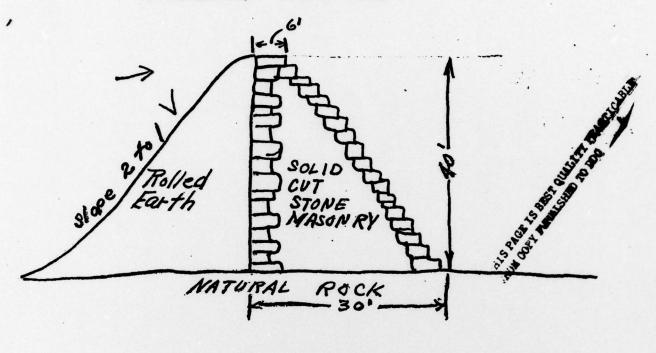
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The total length of this data is 2000; feet. The spillway or waste
weir portion, is about 1000leet long, and the crest of the spillway
about 6 feet below the top of the dam.
The number, size and location of discharge pipes, weste piped or goves which may be use
for drawing off the water from behind the dam, are as follows: Two 30 Rife.
for drawing off the water from behind the dam, are as follows: Two 30 flipe.  Under gate house
At the time of this inspection the water level above the dam was ii
above the crest of the spillway.
(State briefly, in the space below, whether, in your judgment, this dam is in good condition, or bad condition, describing particularly any leaks or cracks which you may have observed.)
This day is in and
This daw is in good condition
Throughout and in case of sufture
nated would probably be caught by
the lower dawn or surely vally below
, , , , , , , , , , , , , , , , , , , ,
where it would probably carry eway
several houses and an electric ligh
plant and factory located below on
The same stream
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Reported by Adder mour
Merchant Market To Merchant
walcou vi. J.

In the space below, make a third should showing the good of plan of the door, and its approximate position is relation to had in to shor constitution of facts in the vicibility.) Reservoir of DIVERTING DAM Wellhouse 1000 Highway Bridge CARMEL A33

In the cases below, the besides the despite form and dimensions of a court series the sold the sold ray or waste-weir of this dam, and a second the chief about the sold about the second the chief period of the dam. Show particularly the product beight of the dam above the scream bod, its thickness of the top, and thickness at the bottom, as nearly as you can learn.)

#### SPILLWAY SECTION



OTHER SECTION

